

Holding of Specified Securities

Annexure - I

1. Name of Listed Entity: APOLLO TRICOAT TUBES LIMITED
2. Scrip Code/Name of Scrip/Class of Security 538566/ APOLLOTRI/ EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) Reg. 31(1)(a)
a. If under 31(1)(b) then indicate the report for Quarter ending 29-09-2018
b. If under 31(1)(c) then indicate date of allotment/extinguishment NA

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	Yes	
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether Company has equity shares with differential voting rights?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category (I)	Category of shareholder (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	No.s of Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
								Class Equity x	Class Others y									Total
(A)	Promoter & Promoter Group	2	14138702	-	-	14138702	55.01	14138702	-	14138702	55.01	4300000	60.45	8030000	56.79	NA	NA	14138702
(B)	Public	4067	11561298	-	-	11561298	44.99	11561298	-	11561298	44.99	500000	39.55	5000	0.04	NA	NA	11544048
(C)	Non Promoter-Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Total	4069	25700000	-	-	25700000	100.00	25700000	-	25700000	100.00	4800000	100.00	8035000	56.84	-	-	25682750

Table II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category & Name of the shareholders (I)	No of Share holders (III)	No of fully paid up equity Shares held (IV)	Part ly paid-up equity Share held (V)	No. of shares underlyin g Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C 2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
							Class Equity x	Class Others y	Total								
1 Indian																	
(a) Individuals/Hindu undivided Family																	
SAKET AGRAWAL	1	6108672	-	-	6108672	23.77	6108672	-	6108672	23.77	-	20.03	-	-	-	-	6108672
RAHUL GUPTA	1	8030030	-	-	8030030	31.25	8030030	-	8030030	31.25	4300000	40.43	8030000	100.00	-	-	8030030
Total	2	14138702	-	-	14138702	55.01	14138702	-	14138702	55.01	4300000	60.45	8030000	56.79	-	-	14138702
(b) Central Government/State Government(s)																	
	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Financial Institutions/Banks																	
	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub-Total (A)(1)	2	14138702	-	-	14138702	55.01	14138702	-	14138702	55.01	4300000	60.45	8030000	56.79	-	-	14138702
2 Foreign																	
(a) Individuals(Non-Resident Individuals/Foreign Individuals)																	
	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) Government																	
	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Institutions																	
	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Foreign Portfolio Investor																	
	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub-Total (A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	2	14138702	-	-	14138702	55.01	14138702	-	14138702	55.01	4300000	60.4500	-	56.79	-	-	14138702

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

	Category & Name of the shareholders (I)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (IV)+(V)+(VI)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (b)		
								Class Equity x	Class Others y									Total
1	Institutions																	
(a)	Mutual Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(c)	Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(e)	Foreign Portfolio Investors	3	135292	-	-	135292	0.53	135292	-	135292	0.53	-	0.44	-	-	NA	NA	135292
(f)	Financial Institution/Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(g)	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	SUB TOTAL (B)(1)	3	135292	-	-	135292	0.53	135292	-	135292	0.53	-	0.44	-	-	NA	NA	135292
2	Central / State government(s)																	
(a)	Central Government/ State Government(s)/President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	SUB TOTAL (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
3	Non-institutions																	
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	3783	6417349	-	-	6417349	24.97	6417349	-	6417349	24.97	1,50,000	21.53	5000	0.08	NA	NA	6400099

(a. 2)	INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	9	3123359	-	-	3123359	12.15	3123359	-	3123359	43.40	3,50,000	11.39	-	-	NA	NA	3123359
	DINESH PAREEKH	1	12,17,661	-	-	1217661	4.74	1217661	-	1217661	4.74	-	3.99	-	-	NA	NA	1217661
	SANGEETA PAREEKH	1	7,50,829	-	-	750829	2.92	750829	-	750829	2.92	-	2.46	-	-	NA	NA	750829
	SONIA JYOTI	1	3,28,000	-	-	328000	1.28	328000	-	328000	1.28	-	1.08	-	-	NA	NA	328000
(b)	NBFCs registered with RBI	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	0
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	0
(e)	Any Other(BODIES CORPORATE)	88	1007506	-	-	1007506	3.92	1007506	-	1007506	3.92	-	3.30	-	-	NA	NA	1007506
(e)	Any Other(CLEARING MEMBER)	53	333158	-	-	333158	1.30	333158	-	333158	1.30	-	1.09	-	-	NA	NA	333158
(e)	Any Other(NON RESIDENT INDIANS (NON REPAT))	44	292891	-	-	292891	1.14	292891	-	292891	1.14	-	0.96	-	-	NA	NA	292891
(e)	Any Other(NON RESIDENT INDIANS (REPAT))	86	226743	-	-	226743	0.88	226743	-	226743	0.88	-	0.74	-	-	NA	NA	226743
(e)	Any Other(TRUSTS)	1	25000	-	-	25000	0.10	25000	-	25000	0.10	-	0.08	-	-	NA	NA	25000
	SUB TOTAL (B)(3)	4064	11426006	-	-	11426006	44.46	11426006	-	11426006	44.46	500000	39.10	5000	0.04	NA	NA	11408756
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	4067	11561298	-	-	11561298	44.99	11561298	-	11561298	44.99	500000	39.55	5000	0.04	NA	NA	11544048

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category & Name of the shareholders (I)	No. of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total no. shares held (VII)= (IV)+(V)+ (VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable)		
							Class Equity x	Class Others y									Total
1 Custodian/DR Holder																	
	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Non Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Note
(1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available