

General information about company	
Scrip code	538566
NSE Symbol	
MSEI Symbol	
ISIN	INE919PO1029
Name of the company	APOLLO TRICOAT TUBES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	18779560			18779560	61.77	18779560		18779560	61.77
(B)	Public	4218	11620440			11620440	38.23	11620440		11620440	38.23
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	4220	30400000			30400000	100	30400000		30400000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				61.77	11500000	61.24			18779560
(B)	Public				38.23	405000	3.49			11617690
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	11905000	39.16			30397250

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	1	3558321			3558321	11.71	3558321		3558321	11.71
(d)	Any Other (specify)	1	15221239			15221239	50.07	15221239		15221239	50.07
Sub-Total (A)(1)		2	18779560			18779560	61.77	18779560		18779560	61.77
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	18779560			18779560	61.77	18779560		18779560	61.77
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	358181			358181	1.18	358181		358181	1.18
(c)	Alternate Investment Funds	1	140000			140000	0.46	140000		140000	0.46
(e)	Foreign Portfolio Investors	6	1229563			1229563	4.04	1229563		1229563	4.04
(f)	Financial Institutions/ Banks	1	777			777	0	777		777	0
Sub-Total (B)(1)		9	1728521			1728521	5.69	1728521		1728521	5.69
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	3918	5691361			5691361	18.72	5691361		5691361	18.72
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	7	2028140			2028140	6.67	2028140		2028140	6.67
(e)	Any Other (specify)	284	2172418			2172418	7.15	2172418		2172418	7.15

Sub-Total (B)(3)		4209	9891919			9891919	32.54	9891919		9891919	32.54
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		4218	11620440			11620440	38.23	11620440		11620440	38.23
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		4220	30400000			30400000	100	30400000		30400000	100
Total (A+B+C)		4220	30400000			30400000	100	30400000		30400000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				11.71	0	0			3558321
(d)				50.07	11500000	75.55			15221239
Sub-Total (A)(1)				61.77	11500000	61.24			18779560
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				61.77	11500000	61.24			18779560
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				1.18	0	0			358181
(c)				0.46	0	0			140000
(e)				4.04	0	0			1229563
(f)				0	0	0			777
Sub-Total (B)(1)				5.69	0	0			1728521
(3)	Non-institutions								
(a(i))				18.72	205000	3.6			5688611
(a(ii))				6.67	0	0			2028140
(e)				7.15	200000	9.21			2172418
Sub-Total (B)(3)				32.54	405000	4.09			9889169
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				38.23	405000	3.49			11617690
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	11905000	39.16			30397250

Total (A+B+C)				100	11905000	39.16		30397250
Disclosure of notes in case of public share holding is less than 25 percentage							Textual Information (1)	

Text Block

Textual Information()

Mr. Saket Agarwal was an erstwhile promoter of the Company and subsequent to completion of takeover open offer by Mr. Rahul Gupta, the substantial shareholding and control of the Company is with Mr. Rahul Gupta. Mr. Agarwal intended to be reclassified as public category shareholder in terms of the provisions of Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, however, his shareholding is above 10%, and accordingly he cannot be reclassified in public category yet.

Individuals/Hindu undivided Family			
Serial No.	1	2	
Name of the Shareholders (I)	SAKET AGARWAL	Rahul Gupta	Click here to go back
PAN (II)	ABXPA8150D	BDIPG3993A	Total
No. of fully paid up equity shares held (IV)	3558321	0	3558321
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3558321	0	3558321
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.71	0	11.71
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	3558321	0	3558321
Class eg: y			
Total	3558321	0	3558321
Total as a % of Total Voting rights	11.71	0	11.71
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	11.71	0	11.71
Number of Locked in shares (XII)			

No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	3558321	0	3558321
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter	

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SHRI LAKSHMI METAL UDYOG LTD	
PAN (II)	AAHCS9174M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	15221239	15221239
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	15221239	15221239
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	50.07	50.07
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	15221239	15221239
Class eg:y		
Total	15221239	15221239
Total as a % of Total Voting rights	50.07	50.07
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	50.07	50.07

capital) (XI)= (VII) +(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)	11500000	11500000
As a % of total Shares held (b)	75.55	75.55
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	15221239	15221239
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Mutual Funds		
Searial No.	1	
Name of the Shareholders (I)	IIFL FOCUSED EQUITY FUND	Click here to go back
PAN (II)	AAATI8736M	Total
No. of fully paid up equity shares held (IV)	358181	358181
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	358181	358181
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.18	1.18
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	358181	358181
Class eg:y		
Total	358181	358181
Total as a % of Total Voting rights	1.18	1.18
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.18	1.18
Number of Locked in shares (XII)		

No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	358181	358181
Reason for not providing PAN		
Reason for not providing PAN		

Foreign Portfolio Investors		
Serial No.	1	
Name of the Shareholders (I)	FIDELITY FUNDS - INDIA FOCUS FUND	Click here to go back
PAN (II)	AADCF2079C	Total
No. of fully paid up equity shares held (IV)	844476	844476
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	844476	844476
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.78	2.78
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	844476	844476
Class eg:y		
Total	844476	844476
Total as a % of Total Voting rights	2.78	2.78
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.78	2.78
Number of Locked in shares (XII)		

No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	844476	844476
Reason for not providing PAN		
Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			
Serial No.	1	2	
Name of the Shareholders (I)	REENA SINGHAL	SANGEETA PAREEKH	Click here to go back
PAN (II)	AJVPS3370B	AASPP5260H	Total
No. of fully paid up equity shares held (IV)	388000	650829	1038829
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	388000	650829	1038829
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.28	2.14	3.42
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	388000	650829	1038829
Class eg: y			
Total	388000	650829	1038829
Total as a % of Total Voting rights	1.28	2.14	3.42
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.28	2.14	3.42
Number of Locked in shares (XII)			

No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized form (XIV)	388000	650829	1038829
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)						
Searial No.	1	2	3	4	5	
Category	Bodies Corporate	Clearing Members	HUF	Non-Resident Indian (NRI)	Firm	
Category / More than 1 percentage	Category	Category	Category	Category	Category	
Name of the Shareholders (I)						Click here to go back
PAN (II)						Total
No. of the Shareholders (I)	77	48	16	142	1	284
No. of fully paid up equity shares held (IV)	1316662	375823	224393	251761	3779	2172418
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1316662	375823	224393	251761	3779	2172418
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.33	1.24	0.74	0.83	0.01	7.15
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	1316662	375823	224393	251761	3779	2172418
Class eg:y						
Total	1316662	375823	224393	251761	3779	2172418
Total as a % of Total Voting rights	4.33	1.24	0.74	0.83	0.01	7.15
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a						

percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	4.33	1.24	0.74	0.83	0.01	7.15
Number of Locked in shares (XII)						
No. (a)	0	0	200000	0	0	200000
As a % of total Shares held (b)	0	0	89.13	0	0	9.21
Number of equity shares held in dematerialized form (XIV)	1316662	375823	224393	251761	3779	2172418
Reason for not providing PAN						
Reason for not providing PAN						

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* : Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence