

General information about company	
Scrip code	538566
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE919PO1029
Name of the company	APOLLO TRICOAT TUBES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	19008321			19008321	62.53	19008321		19008321	62.53
(B)	Public	8058	11391679			11391679	37.47	11391679		11391679	37.47
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	8060	30400000			30400000	100	30400000		30400000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				62.53	11500000	60.5			19008321
(B)	Public				37.47	405000	3.56			11388929
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	11905000	39.16			30397250

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	1	3548321			3548321	11.67	3548321		3548321	11.67
(d)	Any Other (specify)	1	15460000			15460000	50.86	15460000		15460000	50.86
Sub-Total (A)(1)		2	19008321			19008321	62.53	19008321		19008321	62.53
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	19008321			19008321	62.53	19008321		19008321	62.53
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	421615			421615	1.39	421615		421615	1.39
(c)	Alternate Investment Funds	1	140000			140000	0.46	140000		140000	0.46
(e)	Foreign Portfolio Investors	6	1283551			1283551	4.22	1283551		1283551	4.22
(f)	Financial Institutions/ Banks	1	24			24	0	24		24	0
Sub-Total (B)(1)		9	1845190			1845190	6.07	1845190		1845190	6.07
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	7458	5262252			5262252	17.31	5262252		5262252	17.31
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	6	1905142			1905142	6.27	1905142		1905142	6.27
(e)	Any Other (specify)	585	2379095			2379095	7.83	2379095		2379095	7.83

Sub-Total (B)(3)		8049	9546489			9546489	31.4	9546489		9546489	31.4
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		8058	11391679			11391679	37.47	11391679		11391679	37.47
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		8060	30400000			30400000	100	30400000		30400000	100
Total (A+B+C)		8060	30400000			30400000	100	30400000		30400000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				11.67	0	0			3548321
(d)				50.86	11500000	74.39			15460000
Sub-Total (A)(1)				62.53	11500000	60.5			19008321
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				62.53	11500000	60.5			19008321
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				1.39	0	0			421615
(c)				0.46	0	0			140000
(e)				4.22	0	0			1283551
(f)				0	0	0			24
Sub-Total (B)(1)				6.07	0	0			1845190
(3)	Non-institutions								
(a(i))				17.31	205000	3.9			5259502
(a(ii))				6.27	0	0			1905142
(e)				7.83	200000	8.41			2379095
Sub-Total (B)(3)				31.4	405000	4.24			9543739
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				37.47	405000	3.56			11388929
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	11905000	39.16			30397250

Total (A+B+C)				100	11905000	39.16		30397250
Disclosure of notes on shareholding pattern								Textual Information (1)

Text Block

Textual Information()

1. The shareholding of Shri Lakshmi Metal Udyog Limited (Promoter) as disclosed above also reflects the 15000 shares acquired on 31/03/2020 as intimated by the said shareholder in Form C of SEBI - Insider Trading Regulations and forwarded to the Stock Exchanges. 2. Mr. Saket Agarwal was the erstwhile promoter of the Company and subsequent to completion of takeover open offer by Mr. Rahul Gupta, the substantial shareholding and control of the Company is with Mr. Rahul Gupta. Mr. Saket Agarwal intended to be reclassified as public category shareholder in terms of the provisions of Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, however, his shareholding is above 10%, and accordingly he cannot be reclassified in public category yet, hence shown in the promoter category.

Individuals/Hindu undivided Family			
Serial No.	1	2	
Name of the Shareholders (I)	SAKET AGARWAL	Rahul Gupta	Click here to go back
PAN (II)	ABXPA8150D	BDIPG3993A	Total
No. of fully paid up equity shares held (IV)	3548321	0	3548321
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3548321	0	3548321
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.67	0	11.67
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	3548321	0	3548321
Class eg: y			
Total	3548321	0	3548321
Total as a % of Total Voting rights	11.67	0	11.67
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	11.67	0	11.67
Number of Locked in shares (XII)			

No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	3548321	0	3548321
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter	

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SHRI LAKSHMI METAL UDYOG LTD	
PAN (II)	AAHCS9174M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	15460000	15460000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	15460000	15460000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	50.86	50.86
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	15460000	15460000
Class eg:y		
Total	15460000	15460000
Total as a % of Total Voting rights	50.86	50.86
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	50.86	50.86

capital) (XI)= (VII) +(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)	11500000	11500000
As a % of total Shares held (b)	74.39	74.39
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	15460000	15460000
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Mutual Funds		
Serial No.	1	
Name of the Shareholders (I)	IIFL FOCUSED EQUITY FUND	Click here to go back
PAN (II)	AAATI8736M	Total
No. of fully paid up equity shares held (IV)	421615	421615
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	421615	421615
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.39	1.39
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	421615	421615
Class eg:y		
Total	421615	421615
Total as a % of Total Voting rights	1.39	1.39
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.39	1.39
Number of Locked in shares (XII)		

No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	421615	421615
Reason for not providing PAN		
Reason for not providing PAN		

Foreign Portfolio Investors		
Serial No.	1	
Name of the Shareholders (I)	FIDELITY FUNDS - INDIA FOCUS FUND	Click here to go back
PAN (II)	AADCF2079C	Total
No. of fully paid up equity shares held (IV)	844476	844476
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	844476	844476
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.78	2.78
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	844476	844476
Class eg:y		
Total	844476	844476
Total as a % of Total Voting rights	2.78	2.78
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.78	2.78
Number of Locked in shares (XII)		

No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	844476	844476
Reason for not providing PAN		
Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			
Serial No.	1	2	
Name of the Shareholders (I)	ASHISH KACHOLIA	SANGEETA PAREEKH	Click here to go back
PAN (II)	AADPK0757F	AASPP5260H	Total
No. of fully paid up equity shares held (IV)	517434	650829	1168263
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	517434	650829	1168263
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.7	2.14	3.84
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	517434	650829	1168263
Class eg:y			
Total	517434	650829	1168263
Total as a % of Total Voting rights	1.7	2.14	3.84
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.7	2.14	3.84
Number of Locked in shares (XII)			

No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized form (XIV)	517434	650829	1168263
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)						
Searial No.	1	2	3	4	5	6
Category	Bodies Corporate	Clearing Members	HUF	Non-Resident Indian (NRI)	Firm	Trusts
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)						
PAN (II)						
No. of the Shareholders (I)	88	53	203	237	1	3
No. of fully paid up equity shares held (IV)	1162774	125670	659600	392357	3779	34915
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1162774	125670	659600	392357	3779	34915
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.82	0.41	2.17	1.29	0.01	0.11
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	1162774	125670	659600	392357	3779	34915
Class eg:y						
Total	1162774	125670	659600	392357	3779	34915
Total as a % of Total Voting rights	3.82	0.41	2.17	1.29	0.01	0.11
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a						

percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	3.82	0.41	2.17	1.29	0.01	0.11
Number of Locked in shares (XII)						
No. (a)	0	0	200000	0	0	0
As a % of total Shares held (b)	0	0	30.32	0	0	0
Number of equity shares held in dematerialized form (XIV)	1162774	125670	659600	392357	3779	34915
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	585
No. of fully paid up equity shares held (IV)	2379095
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2379095
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.81
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	2379095
Class eg:y	
Total	2379095
Total as a % of Total Voting rights	7.81
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a	

percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	7.81
Number of Locked in shares (XII)	
No. (a)	200000
As a % of total Shares held (b)	8.41
Number of equity shares held in dematerialized form (XIV)	2379095
Reason for not providing PAN	
Reason for not providing PAN	

Sr. No.	Details of the SBO	Details of the registered owner	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*	Date of creation / acquisition of significant beneficial interest	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
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