

<b>General information about company</b>	
Scrip code	538566
NSE Symbol	
MSEI Symbol	
ISIN	INE919P01029
Name of the company	APOLLO TRICOAT TUBES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

<b>Declaration</b>					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	Yes	Yes	Yes	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	19079560			19079560	62.76	19079560		19079560	62.76
(B)	Public	3710	11320440			11320440	37.24	11320440		11320440	37.24
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	3712	30400000			30400000	100	30400000		30400000	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group		0	0	62.76	12330000	64.62	1458321	7.64	19079560
(B)	Public		0	0	37.24	405000	3.58			11317690
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total		0	0	100	12735000	41.89	1458321	4.8	30397250

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	1	3858321			3858321	12.69	3858321		3858321	12.69
(d)	Any Other (specify)	1	15221239			15221239	50.07	15221239		15221239	50.07
Sub-Total (A)(1)		2	19079560			19079560	62.76	19079560		19079560	62.76
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	19079560			19079560	62.76	19079560		19079560	62.76
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	4	160490			160490	0.53	160490		160490	0.53
Sub-Total (B)(1)		4	160490			160490	0.53	160490		160490	0.53
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	3432	5675184			5675184	18.67	5675184		5675184	18.67
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	9	4161901			4161901	13.69	4161901		4161901	13.69
(e)	Any Other (specify)	265	1322865			1322865	4.35	1322865		1322865	4.35
Sub-Total (B)(3)		3706	11159950			11159950	36.71	11159950		11159950	36.71
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		3710	11320440			11320440	37.24	11320440		11320440	37.24

C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		3712	30400000			30400000	100	30400000		30400000	100
Total (A+B+C)		3712	30400000			30400000	100	30400000		30400000	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)		0	0	12.69	0	0	1458321	37.8	3858321
(d)		0	0	50.07	12330000	81.01	0	0	15221239
Sub-Total (A)(1)		0	0	62.76	12330000	64.62	1458321	7.64	19079560
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		0	0	62.76	12330000	64.62	1458321	7.64	19079560
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)		0	0	0.53	0	0			160490
Sub-Total (B)(1)		0	0	0.53	0	0			160490
(3)	Non-institutions								
(a(i))		0	0	18.67	205000	3.61			5672434
(a(ii))		0	0	13.69	200000	4.81			4161901
(e)		0	0	4.35	0	0			1322865
Sub-Total (B)(3)		0	0	36.71	405000	3.63			11157200
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B) (3)		0	0	37.24	405000	3.58			11317690
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )		0	0	100	12735000	41.89			30397250
Total (A+B+C )		0	0	100	12735000	41.89	1458321	4.8	30397250
								Textual	

Disclosure of notes on shareholding pattern

Information  
(1)



**Text Block**

Textual Information()

1. The Company has issued 48,00,000 fully convertible warrants on March 15, 2018, out of which 47,00,000 has been converted into equal number of equity shares by 13.09.2019. The person belonging to Non-Promoter Category has informed the management for non-exercise of 100,000 warrants. Pursuant to Regulation 169 SEBI ICDR Regulation 2018, the Company has forfeited the application money paid for subscription of warrant amounting R. 30,00,000 on account of lapse of 100,000 warrants. As on 30.09.2019, no warrants pending for conversion. 2. Mr. Saket Agarwal was the erstwhile promoter of the Company and subsequent to completion of takeover open offer by Mr. Rahul Gupta, the substantial shareholding and control of the Company is with Mr. Rahul Gupta. Mr. Agarwal intended to be reclassified as public category shareholder in terms of the provisions of Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, however, his shareholding is above 10%, and accordingly he cannot be reclassified in public category yet.

<b>Individuals/Hindu undivided Family</b>			
Serial No.	1	2	
Name of the Shareholders (I)	SAKET AGARWAL	RAHUL GUPTA	<a href="#">Click here to go back</a>
PAN (II)	ABXPA8150D	BDIPG3993A	Total
No. of fully paid up equity shares held (IV)	3858321	0	3858321
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3858321	0	3858321
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.69	0	12.69
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	3858321	0	3858321
Class eg: y			
Total	3858321	0	3858321
Total as a % of Total Voting rights	12.69	0	12.69
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	12.69	0	12.69
<b>Number of Locked in shares (XII)</b>			

No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)	1458321	0	1458321
As a % of total Shares held (b)	37.8	0	37.8
Number of equity shares held in dematerialized form (XIV)	3858321	0	3858321
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter	

<b>Any Other (specify)</b>		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	SHRI LAKSHMI METAL UDYOG LIMITED	
PAN (II)	AAHCS9174M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	15221239	15221239
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	15221239	15221239
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	50.07	50.07
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	15221239	15221239
Class eg:y		
Total	15221239	15221239
Total as a % of Total Voting rights	50.07	50.07
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	50.07	50.07

capital) (XI)= (VII) +(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)	12330000	12330000
As a % of total Shares held (b)	81.01	81.01
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	15221239	15221239
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	DINESH PAREEKH	LAKSHAY SINGHAL	REENA INGHAL	SANGEETA PAREEKH	SONIA JYOTI	<a href="#">Click here to go back</a>
PAN (II)	AAHPP2582M	GFSPS7409G	AJVPS3370B	AASPP5260H	APWPS0744J	Total
No. of fully paid up equity shares held (IV)	707661	550000	938000	750829	518000	3464490
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	707661	550000	938000	750829	518000	3464490
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.33	1.81	3.09	2.47	1.7	11.4
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	707661	550000	938000	750829	518000	3464490
Class eg:y						
Total	707661	550000	938000	750829	518000	3464490
Total as a % of Total Voting rights	2.33	1.81	3.09	2.47	1.7	11.4
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.33	1.81	3.09	2.47	1.7	11.4
Number of Locked in shares (XII)						

No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	707661	550000	938000	750829	518000	3464490
Reason for not providing PAN						
Reason for not providing PAN						

<b>Any Other (specify)</b>					
Searial No.	1	2	3	4	
Category	Clearing Members	Non-Resident Indian (NRI)	Firm	Bodies Corporate	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					<a href="#">Click here to go back</a>
PAN (II)					Total
No. of the Shareholders (I)	51	140	1	73	265
No. of fully paid up equity shares held (IV)	282179	210354	3932	826400	1322865
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	282179	210354	3932	826400	1322865
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.93	0.69	0.01	2.72	4.35
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	282179	210354	3932	826400	1322865
Class eg:y					
Total	282179	210354	3932	826400	1322865
Total as a % of Total Voting rights	0.93	0.69	0.01	2.72	4.35
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a					



percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	0.93	0.69	0.01	2.72	4.35
Number of Locked in shares (XII)					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	282179	210354	3932	826400	1322865
Reason for not providing PAN					
Reason for not providing PAN					

Sr. No.	Details of the SBO	Details of the registered owner	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*	Date of creation / acquisition of significant beneficial interest	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
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